

**NICE**  
Actimize

Brochure

# Enterprise Consulting and Advisory Practice

Value-Added  
Services



You have devoted time, money and resources into the ever-changing process of establishing a financial crime program that protects and mitigates the associated risks targeting your organization. How can you make sure you're getting the most out of your investment?

When you partner with the NICE Actimize Enterprise Consulting and Advisory Practice (ECAP), our experts go beyond the implementation of your software solution. We understand your toughest risk and compliance challenges and are ready to provide industry benchmarking and incorporate best practices into your Financial Crime Target Operating Model (TOM). A long-term approach is crucial and our goal is to build a unified, consolidated program as the foundation to develop an effective and efficient TOM. This plan helps achieve enterprise excellence for your organization.

## Our Team: By the Numbers

- 120+ go-lives in 2017
- More than 430 PS team members throughout 15 countries
- 180+ customers

## Offering Benefits

- **Gain access to an experienced team** of business industry experts, software engineers and solution architects.
- **Receive best-in-class consultancy and guidance**, including business analysis, solutions architecture, organizational design and solution implementation.
- **Our experts solve your most complex challenges** by evaluating and assessing operations, analyzing processes and providing best practices leveraging an industry consortium.
- **Gain industry intelligence** to maximize your resource and technology investments, ensure compliance and mitigate exposed vulnerabilities.



## The Enterprise Consulting & Advisory Practice is a team of our industry subject matter experts:

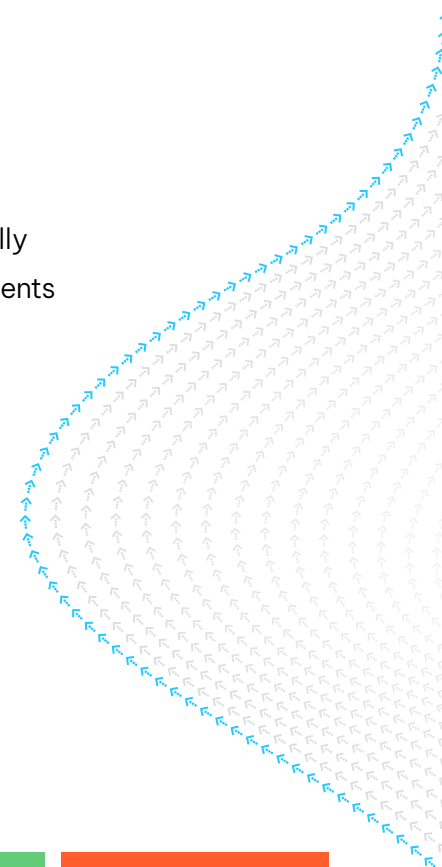
- Our team offers guidance and insights gained from working with clients globally
- We help your organization develop best practices within its operational environments











## Satisfaction is critical:

- We are committed to supporting you anytime, anywhere
- We ensure business processes are aligned and take advantage of technology
- Our solutions are adapted to your unique operational environment

## Gain industry intelligence to maximize your resource and technology investments::

- We ensure adequate compliance controls
- Our team mitigates exposed vulnerabilities within your organization Our solutions are adapted to your unique operational environment



 <p><b>FINANCIAL CRIME PROGRAM ASSESSMENT</b></p> <ul style="list-style-type: none"> <li>▪ Target Operating Model</li> <li>▪ Health Check Evaluation</li> <li>▪ Impact Analysis &amp; Reporting</li> </ul>	 <p><b>NEEDS ASSESSMENT</b></p> <ul style="list-style-type: none"> <li>▪ Market Analysis</li> <li>▪ Implementation Guidance</li> <li>▪ Best-in-Class Proposals</li> </ul>	 <p><b>CUSTOMER IDENTIFICATION PROGRAM</b></p> <ul style="list-style-type: none"> <li>▪ CIP Review &amp; Benchmarking</li> <li>▪ Risk Factor Evaluation</li> <li>▪ KYC-CDD-EDD</li> <li>▪ Customer Suitability Analysis</li> </ul>	 <p><b>TRANSACTION MONITORING</b></p> <ul style="list-style-type: none"> <li>▪ Dashboard &amp; Reporting</li> <li>▪ Risk Coverage &amp; Assessment</li> <li>▪ Regulatory Reporting</li> </ul>	 <p><b>INVESTIGATION</b></p> <ul style="list-style-type: none"> <li>▪ Workflow Optimization</li> <li>▪ Assisted Investigations</li> <li>▪ Trade Surveillance Review</li> <li>▪ Order Lifecycle Guidance</li> </ul>
 <p><b>CURRENCY TRANSACTION REPORTING</b></p> <ul style="list-style-type: none"> <li>▪ Aggregation &amp; Management</li> <li>▪ Generation &amp; Validation</li> </ul>	 <p><b>SANCTIONS PROGRAM</b></p> <ul style="list-style-type: none"> <li>▪ Watch List Filtering</li> <li>▪ Comparison vs Peer</li> <li>▪ Coverage Traceability</li> </ul>	 <p><b>ADVANCED ANALYTICS</b></p> <ul style="list-style-type: none"> <li>▪ Tuning &amp; Optimization</li> <li>▪ Model Governance</li> <li>▪ Risk Strategy &amp; Gap Analysis</li> <li>▪ Behavioral Anomalies Evaluation</li> </ul>	 <p><b>DATA MANAGEMENT</b></p> <ul style="list-style-type: none"> <li>▪ Data Acquisition</li> <li>▪ Data Analysis</li> <li>▪ Data Validation</li> </ul>	 <p><b>TRAINING &amp; EDUCATION</b></p> <ul style="list-style-type: none"> <li>▪ Product &amp; Technology</li> <li>▪ Leadership Advisory</li> <li>▪ Consortium Groups</li> </ul>

# Creating a Financial Crime Target Operating Model

When it comes to regulatory compliance and mitigating risk, things can get complicated quickly. Your team requires an effective plan that takes into account your unique goals and needs. A Target Operating Model (TOM) is your organization's central plan to keep people and process running smoothly. But how do we get there?

## STEP 1

### ASSESS WHERE YOU ARE

- Do you understand the cost of financial crime risk management, based on people, technology and data?
- What processes manage data and control its quality?
- How are teams organized and what are their responsibilities?
- How do you measure and report on performance?
- What steps are in place to help ensure risks are mitigated and regulatory compliance requirements are met?

## STEP 2

### SEE WHERE YOU'RE GOING

- Are you exploring opportunities to align financial crime areas?
- Who has overall responsibility for managing and fighting financial crime?
- Can this information provide competitive advantage and prevent reputational damage, losses or fines?

## STEP 3

### DEVELOP A ROADMAP TO GET THERE

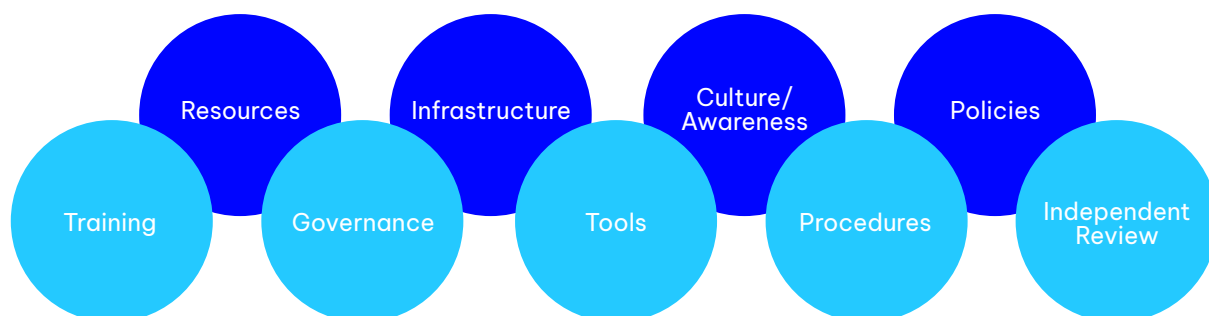
- Define how to use existing resources to plan the best course of action for your organization.
- How will you define "effective and efficient" to present the best business case to scale and grow with your business?

## STEP 4

### CREATE AN ENTERPRISE PLAN FOR AN INTEGRATED TARGET OPERATING MODEL

- Implement the plan.
- Continue to review framework effectiveness.
- Integrate additional enhancements.

**A Target Operating Model Design may include any or all of the following:**





## Know more. Risk less.

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### About NICE Actimize

NICE Actimize is the largest and broadest provider of financial crime, risk and compliance solutions for regional and global financial institutions, as well as government regulators. Consistently ranked as number one in the space, NICE Actimize experts apply innovative technology to protect institutions and safeguard consumers' and investors' assets by identifying financial crime, preventing fraud and providing regulatory compliance. The company provides real-time, cross-channel fraud prevention, anti-money laundering detection, and trading surveillance solutions that address such concerns as payment fraud, cybercrime, sanctions monitoring, market abuse, customer due diligence and insider trading.

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